

Action Plan - Implemented

Report Date	04 Dec 2018
Risk Status	Open
Risk Level	
Action Status	Implemented

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Risk Ref	Risk Title	Residual Risk Priority	Action Required	Risk Owner	To be implemented by	Implemented Date	Implemented Comments
35	arvato Contract - Re-provision	I = 3 L = 3 9	Recruitment of staff to undertake the monitoring and management of the Contact	Vijay McGuire	28 Feb 2018	19 Mar 2018	2 posts created in the contract management roles.
CR 2	Failure to ensure financial sustainability.	I = 4 L = 2 8	Review current controls on a quarterly basis and report to Cabinet This is an on-going action	Neil Wilcox	31 Jan 2018	28 Feb 2018	This is an on-going action
CR 4	Inability to manage the urban regeneration projects such that they deliver a quality product on time and to budget	I = 3 L = 3 9	Ensure that SBC has proper representation on SUR board	Stephen Gibson	31 Mar 2018	30 Apr 2018	As per interview with RSM
CR 10	Ensuring that the sustainability and transformation partnership (STP) reaches a satisfactory agreement between all the partners.	I = 2 L = 3 6	Working out KPI metrics and financial control total	Alan Sinclair	28 Sep 2018	06 Sep 2018	6/9/2018 RM Baord
CR 10	Ensuring that the sustainability and transformation partnership (STP) reaches a satisfactory agreement between all the partners.	I = 2 L = 3 6	The new Cabinet lead will need to be inducted and supported.	Alan Sinclair	31 Jan 2018	31 Jan 2018	1-1 sessions and attendance at STP meetings
CR 11	Ensuring the Council's internal control environment is fit for future.	I = 3 L = 3 9	Complete the review of HR policies under the new structure	Neil Wilcox	23 Oct 2018	23 Oct 2018	SN advised that this has happened. There is an on-going review of policies
CR 11	Ensuring the Council's internal control environment is fit for future.	I = 3 L = 3 9	Complete the review of the code of conduct	Neil Wilcox	31 Mar 2018	17 May 2018	
CR 14	Failure to ensure that the Council has adequate permanent staff with the skills required to meet their corporate objectives.	I = 2 L = 3 6	Produce Business to set up academy to develop our own staff of the future	Surjit Nagra	31 Oct 2018	23 Oct 2018	This has been completed

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CR 19	Failure of Children's Social Care	I = 3 L = 4 12	Review of governance by external improvement partner	Cate Duffy	31 Dec 2017	12 Jan 2018	Report provided. Contract review to implement findings
CR 19	Failure of Children's Social Care	I = 3 L = 4 12	Review of KPI for Improvement Board,	Cate Duffy	31 Dec 2017	19 Feb 2018	